



DEPARTMENT OF HOMELAND SECURITY
UNITED STATES COAST GUARD

OMB Approval 2115-0504

CERTIFICATE OF COMPLIANCE

Particulars of Ship:

Name of Vessel:	IMO Number:	Flag of Vessel:
Owner:	Operator Manager:	
Type of Vessel: <input type="checkbox"/> Passenger Ship <input type="checkbox"/> Oil Tanker <input type="checkbox"/> Chemical Tanker <input type="checkbox"/> Gas Carrier <input type="checkbox"/> Mobile Offshore Drilling Unit (MODU)		

For Passenger Vessels only:

☐ The maximum number of passengers is _____. The maximum allowable total persons on board is _____ total persons.

For Tank ships only:

☐ The vessel is authorized to carry into or from United States ports:

- ☐ the products listed on the Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk
- ☐ the products listed on the Certificate of Fitness for the Carriage of Liquefied Gases in Bulk subject to conditions noted on the attached USCG Subchapter O Endorsement (SOE)
- ☐ crude oil or other petroleum products
- ☐ Category C/Category D Noxious Liquid Substances as noted on the vessel's NLS Certificate of the Attachment to the IOPP Certificate

☐ This vessel meets the U.S. double-hull design standards of 33 CFR 157.10d.

☐ On _____, this vessel must meet the U.S. double-hull design standard of 33 CFR 157.10d.

☐ This vessel's vapor collection system (VCS) has been certified as meeting the requirements of Title 46, Code of Federal Regulations, part 39 and Title 33, Code of Federal Regulations, Section 155.750(d) by _____, under the authority of Title 46, Code of Federal Regulations, Section 39.10-13(d), for the collection of cargo vapors listed in the certification dated _____, and is therefore accepted for the collection of these vapors in the navigable waters of the United States.

- ☐ This vessel is equipped with (check all that apply): ☐ segregated ballast tanks ☐ dedicated clean ballast tanks ☐ crude oil washing system.
- ☐ This vessel complies with the requirements of 33 CFR 157.10 (c) to operate as a (check all that apply): ☐ Crude Oil Carrier ☐ Product Carrier
- ☐ This vessel is equipped with an inert gas system that complies with the requirements of SOLAS 74 (amended) II-2/62 and 46 CFR 32.

For MODU's only:

☐ This vessel has been examined in accordance with (check one): ☐ 33 CFR 143.207(a) ☐ 33 CFR 143.207(b) ☐ 33 CFR 143.207(c)

☐ This maximum allowable number of persons on board is _____ and the minimum number of lifeboatmen required is _____.

☐ This vessel is: ☐ Self-propelled ☐ Non Self-propelled

THIS IS TO CERTIFY:

That the ship has been examined and found to be in compliance with all applicable U.S. and international marine safety and environmental protection standards.

Officer in Charge, Marine Inspection

Date Issued

Zone

Date of Expiration

**Tank Ships
and MODU's**

Annual Exam Due

Passenger Ships

Quarterly Exams Due

1. _____
2. _____
3. _____

An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number.

The Coast Guard estimates that the average burden for this report is 10 minutes [or 0.17 hours]. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: Commandant (G-MOC), U.S. Coast Guard, 2100 2nd St., SW, Washington D.C. 20593-0001 or Office of Management and Budget, Paperwork Reduction Project (2115-0504), Washington, DC 20503.

Notice to Mariners

All vessels: All vessels requiring an examination for issuance of a Certificate of Compliance shall notify the Officer in Charge, Marine Inspection for the port where the vessel is to be expected at least seven days before the vessel arrives to arrange the particulars of the inspection.

For passenger ships only: For this Certificate of Compliance to remain in effect, the vessel shall be maintained to the safety and construction standards as examined for compliance with applicable marine safety and environmental protection laws and international conventions. The vessel must also possess a valid Passenger Ship Safety Certificate. This Certificate of Compliance shall be aboard the ship when embarking passengers in a United States port.

For tank ships only: For this Certificate of Compliance to remain in effect, the vessel shall be maintained to the safety and construction standards as examined for compliance with applicable marine safety and environmental protection laws and international conventions. If the vessel is authorized to carry liquefied gases in bulk, a valid Subchapter O Endorsement must be attached to this Certificate. The vessel must also possess a valid Cargo Ship Safety Certificate, or Cargo Ship Safety Construction and Safety Equipment Certificates issued under the provisions of the International Convention for the Safety of Life at Sea, 1974, as amended and, if applicable, an IMO Certificate of Fitness. This certificate shall be aboard the vessel when loading, discharging, or carrying any authorized cargoes into a United States port.

For MODU's only: This Certificate of Compliance is valid only while operating on the Outer Continental Shelf (OCS) of the United States. The vessel shall be maintained to the safety, construction, and operating standards as examined for compliance with applicable marine safety and environmental protection laws and international conventions, including 33 CFR 151, 155, and 156. If the vessel enters a United States port or territorial waters, additional requirements may apply. This certificate shall be aboard the vessel while operating on the OCS.

In accordance with the provisions of 46 CFR 4 and 33CFR 160.215, the vessel owner, operator, agent, master, or person in charge is required to immediately notify the nearest United States Coast Guard Marine Safety Office whenever a marine casualty or hazardous condition occurs.

INSTRUCTIONS FOR USCG BOARDING OFFICERS

1. Entries shall be made on this certificate in accordance with current instructions for the following types of foreign vessel examinations:

Renewal and annual examinations on oil tankers, gas carriers, and MODU's.

Annual and quarterly passenger vessel examinations

Examinations incidental to repairs or alterations

Other compliance boardings (i.e. - MARPOL, Ballast Water, etc.)

Deficiency checks

2. Upon completion of an examination for issuance or re-issuance of this certificate, a new copy of this certificate with the front side filled out by the inspector will be given to the master for safekeeping.

3. The remarks section should include a reference to any deficiencies noted during the examination. In particular a complete description of any deficiencies left outstanding at the end of the examination shall be entered. If a deficiency list is too extensive to fit in the remarks section, a CG-5437B shall be issued to detail the discrepancies, and "See CG-5437B dated ____" shall be entered in the remarks section. The master should be instructed to make this certificate and the referenced document available to the next boarding team.

4. In the final column, the port and place of examination, Coast Guard unit, the date of the examination and the signature of the boarding officer shall be entered. If more than one inspector participates in the examination, the lead inspector shall sign the record. The date of the examination shall be the final day of the examination.

EXAMINATION RECORD

(see instructions above)

Type of Examination	Remarks	Place, Date and Port State Control Officer	
		Place of examination	
		Unit	Date
		Port State Control Officer's Signature	
		Place of examination	
		Unit	Date
		Port State Control Officer's Signature	
		Place of examination	
		Unit	Date
		Port State Control Officer's Signature	
		Place of examination	
		Unit	Date
		Port State Control Officer's Signature	

EXAMINATION RECORD (cont.)*(see instructions on Certificate of Compliance)*

Type of Examination	Remarks	Place, Date and Port State Control Officer	
		Place of examination	
		Unit	Date
		Port State Control Officer's Signature	
		Place of examination	
		Unit	Date
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